THE GREEN CLIMATE FUND AND PAPUA NEW GUINEA'S NATIONAL DESIGNATED AUTHORITY



A short guide about the Green Climate Fund and the role of Papua New Guinea's National Designated Authority to the Green Climate Fund





Climate Change and Development Authority





What is the Green Climate Fund?

The Green Climate Fund (GCF) is a fund created to support the efforts of developing countries to respond to the challenge of climate change. The GCF is a financial mechanism established within the United Nations Framework Convention on Climate Change (UNFCCC) and acts as the operating entity to implement the 2015 Paris Agreement. The Fund helps developing countries limit or reduce their greenhouse gas (GHG) emissions and adapt to climate change. It seeks to promote a paradigm shift to low-emission and climate-resilient development, taking into account the needs of developing countries.

Responding to the climate challenge requires collective action from all countries, including by both public and private sectors. Among these concerted efforts, advanced economies have agreed to jointly mobilize significant financial resources. Coming from a variety of sources, these resources address the pressing mitigation and adaptation needs of developing countries.

GCF's activities are aligned with the priorities of developing countries through the principle of country ownership, and the Fund has established a direct access modality so that national and sub-national organisations can receive funding directly, rather than only via international intermediaries.

GCF aims to catalyse a flow of climate finance to invest in low-emission and climate-resilient development, driving a paradigm shift in the global response to climate change.

GCF's innovation is to use public investment to stimulate private finance, unlocking the power of climate-friendly investment for low emission, climate resilient development. To achieve maximum impact, GCF seeks to catalyse funds, multiplying the effect of its initial financing by opening markets to new investments.

GCF's approach is marked by three distinct features as shown in Figure 1.



FIGURE 1. The three distinct features of GCF: Source: GCF 2020. Available at: https://www.greenclimate.fund/about

GCF invests in adaptation and mitigation activities in developing countries, managing a project portfolio that is implemented by its partner organizations, known as Accredited Entities (AEs).

What is the role of the NDA?



FIGURE 2. NDA responsibility of representing national priorities and interests of Papua New Guinea. Source: GCF Secretariat 2019.

National Designated Authorities (NDAs) are government institutions that serve as the interface between each country and the Fund. They provide broad strategic oversight of the Green Climate Fund's activities in the country and communicate the country's priorities for financing low-emission and climate-resilient development.

Papua New Guinea's national government agencies and line ministries have an important role to play in designing, implementing, coordinating and monitoring of adaptation and mitigation projects in the country. The Climate Change and Development Authority (CCDA) is the mandated government agency that plays the coordinating role for all climate change activities in the country at the national level, while other government agencies and line ministries have their own relevant mandated responsibilities. To ensure implementation of the country programme, the Government of Papua New Guinea must have the capacity to plan for, access, deliver, monitor and report on climate finance, that align with national priorities and policy.

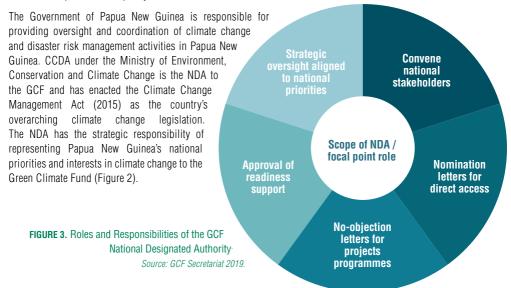


TABLE 1 Definitions of the Roles and Responsibilities of the GCF National Designated Authority

Convene national stakeholders	Convene key national stakeholders on GCF processes, including national government agencies, local governments, private sector, civil society and communities, and development partners.
Issuing nomination letters for direct access	Applications from sub-national, national or regional entities wishing to become accredited via the direct access track need to be accompanied by a nomination letter from the NDA.
Providing no- objection letters for projects/ programmes	The no-objection is provided to GCF by the NDA or focal point, in conjunction with any submission of a funding proposal by an accredited entity of the Fund. In case a proposal is submitted without the no-objection letter, GCF will notify the NDA or focal point and will only submit the proposal to the Board if the 'no objection' is received within 30 days of the notification. Otherwise, the proposal will be suspended, and the accredited entity notified.
Approval of readiness support	The NDA or focal point may directly benefit from the funding or select international, regional, national and sub-national, public, private or nongovernmental institutions, well-versed in readiness activities as their delivery partners. The Fund may also deploy readiness and preparatory support to prospective sub-national, national or regional entities seeking accreditation with the Fund to prepare them to apply for accreditation, and to accredited entities to develop project and programme pipelines.
Strategic oversight aligned with national priorities	This includes ensuring alignment with national sustainable development objectives and frameworks including climate strategies and policies, e.g. National Adaptation Programmes of Action (NAPA), Nationally Appropriate Mitigation Actions (NAMAs), National Adaptation Plans (NAPs), etc. The Fund's readiness programme is able to provide support to develop or strengthen such strategic frameworks and develop country programmes to identify strategic priorities for engagement with the Fund.



How the project cycle for a GCF project or programme works in PNG

Figure 4 provides a visual guide to the process and the responsible parties at different levels of the GCF project and programme cycle. Table 3 (over page) outlines the 14 steps of the process for Papua New Guinea in more detail.

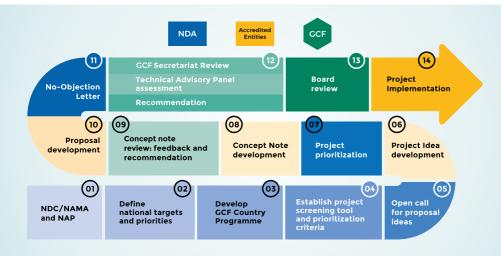


FIGURE 4. GCF project and programme process Source: GCF Secretariat 2019.

International and Regional Entity
Asian Development Bank (ADB) CGIAR System Organization Conservation International (CI) European Investment Bank (EIB) Food and Agriculture Organization of the United Nations (FAO) Deutsche Gesellschaft für Internationale Zusammenarbeit (GIZ) International Finance Corporation (IFC) International Fund for Agricultural Development (IFAD) International Fund for Agricultural Development (IFAD) International Union for Conservation of Nature (IUCN) Japan International Cooperation Agency (JICA) Korea Development Bank (KDB) Kreditanstalt für Wiederaufbau (KfW) Pacific Community (SPC) – Regional DAE Save the Children Australia Secretariat of the Pacific Regional Environment Programme (SPREP) – Regional DAE World Bank Group (WB) World Wildlife Fund (WWF) United Nations Development Programme (UNDP) United Nations Environment Programme (UNEP) World Food Programme (WFP)

S:

- es and funding proposals for projects/
- proponents and national stakeholders
- d on prior experience and in-depth
- nagement of projects/programs.
- on of GCF concept notes and proposals.
- uments (grants, concessional loans,
- oject/program implementation.
- ces on behalf of the country.
- hieved direct access through the the development and implementation ional DAEs. It will be important to pport from the GCF and development and implementation as required.
- should be engaged in GCF projects that mparative advantages and expertise.

PROCESS LEVEL 0: Ground Level

0. PROCESS FOUNDATION

Stakeholder consultations, structured dialogue and technical advisory is provided by all stakeholders including sub-national administrations (Provincial, District and LLGs), private sector, civil society, academia, multilateral donor community, and development finance institutions (AEs, Direct Accredited Entities [DAEs], etc.)

PROCESS LEVEL 1: Steps 1–5. The NDA plays its' coordination and convening role

1. SUBMIT A NATIONAL ADAPTATION PLAN OR VOLUNTARY ADAPTATION PLAN AND APPROPRIATE ELEMENTS OF PNG'S NATIONALLY DETERMINED CONTRIBUTIONS TO THE GCF SECRETARIAT

2. DEFINE PNG'S NATIONAL TARGETS AND PRIORITIES TO ENABLE ALIGNMENT OF ANY PROPOSED PROJECT OR PROGRAMME

The development of the Papua New Guinea's priorities for project and programme development must align with the country's overarching national targets programmed in the MTDPs and GCF's Result Areas outlined below:

M4. Forests and land use A8. Ecosystems and ecosystem services	n N	M1. Energy generation and access M2. Transport M3. Buildings, cities, industries, and appliances M4. Forests and land use	A5. Health food and water security A6. Livelihoods of people and communities A7. Infrastructure and built environment A8. Ecosystems and ecosystem services	
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3. DEVELOPMENT OF A COUNTRY PROGRAMME

A key step in turning PNG's climate action aspirations into reality is identifying short-term and long-term projects/programmes and investment priorities—strategic initiatives that will help realize a paradigm shift in achieving low-emission and climate-resilient development. As part of establishing a Strategic Framework with the GCF, the NDA may wish to initiate a country programme and request the Fund to support its development through the GCF Readiness and Proparatory Support Programme. While developing a country programme is not mandatory, a template has been created to support countries in preparing it. An overarching objective of a having a country programme is fostering country ownership. To achieve this, country programme should be driven by a robust and inclusive engagement process that brings together key stakeholders across all levels of government, local and community-based institutions, the private sector, and civil society to put forward clear and country-owned priorities that GCF can support.

4. ESTABLISH PROJECT SCREENING TOOL AND PRIORITIZATION PROCESS

The NDA and stakeholder prioritization review process takes place using Papua New Guinea's Multi-Criteria Analysis (MCA) Methodology Tool. The MCA methodology tool contains two components: scoring proposals and ranking and prioritizing proposals.

5. OPEN CALL FOR PROPOSAL IDEAS

PROCESS LEVEL 2: Steps 6–10. From project idea to full proposal development

6. PROJECT IDEA DEVELOPMENT

7. PROJECT PRIORITIZATION

8. CONCEPT NOTE DEVELOPMENT

Following prioritization of potential projects and programmes, proposals for GCF funding in the form of a Concept Note may be drafted. A concept note presents a summary of a proposed project/programme to the GCF in order to receive feedback from the GCF Secretariat on whether a concept is aligned with the Fund's objectives, policies and investment criteria.

9. CONCEPT NOTE REVIEW, FEEDBACK AND RECOMMENDATION

In consultation with the NDA, the Secretariat will provide feedback and recommendations to the AE, and advises if the concept is endorsed, not endorsed with possibility of resubmission or rejected.

10. PROPOSAL DEVELOPMENT

PROCESS LEVEL 3: Steps 10–14. From NOL to GCF approval and Project Implementation

11. NO-OBJECTION LETTER (NOL)

It is essential that a signed no-objection letter (NOL) from the NDA is submitted with a funding proposal in order for the GCF to commence a review.

12. SECRETARIAT REVIEW, TECHNICAL ADVISORY PANEL ASSESSMENT, RECOMMENDATION

The Secretariat will carry out necessary and appropriate second-level due diligence. The Secretariat will assess compliance with the GCF safeguards and policies. A technical assessment of the performance of the project or programme against activity-specific criteria will also be undertaken. The Secretariat facilitates the response from AEs to the assessment and recommendations.

13. BOARD REVIEW

The Board takes a decision to: i) Approve the funding proposal; or ii) Provide an approval that is conditional on modifications to project or programme design or subject to availability of funding; or iii) Reject the funding proposal. Figure 6 helps to visualize the full project approval process.

14. PROJECT IMPLEMENTATION

Gender and social inclusion in GCF project building

Papua New Guinea's process for meaningful consultation is inclusive, gender-responsive and culturally aware. To ensure gender responsive actions are applied in GCF project building, Papua New Guinea has developed its own Gender Mainstreaming Guideline, specially developed for the Papua New Guinean context. The Gender Mainstreaming Guideline is designed to be applicable to all marginalized group in in Papua New Guinea to ensure social inclusion and consideration. In addition, activities involving indigenous peoples will support their full and effective participation and recognize their contribution to fulfilling the GCF mandate throughout the entire life cycle of the activities. The design and implementation of activities is guided by the rights and responsibilities set forth in the United Nations Declaration on the Rights of Indigenous Peoples, including, of importance, the right to free, prior and informed consent.

Figure 5 sets out how Papua New Guinea will mainstream gender into the project cycle, ensuring cultural awareness and social inclusion at all stages of the process. The same process can be applied to any marginalized group ensuring social inclusion for all throughout the project cycle.

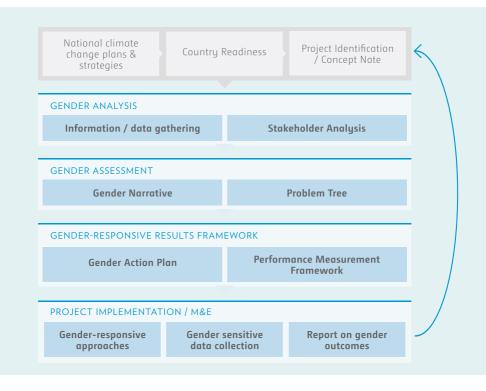


FIGURE 5. Gender mainstreaming in the GCF project cycle.

Source: GCF & UN Women 2017. Mainstreaming Gender in Green Climate Fund Projects. Available at: https://www.greenclimate.fund/document/mainstreaming-gender-green-climate-fund-projects

BOX 1. GCF Gender and social inclusion mainstreaming requirements

GCF requires that women and men, and members of marginalized and vulnerable groups and communities, shall be provided with an equal and equitable opportunity to be fully and effectively engaged in meaningful consultations and decision-making throughout the project cycle, in line with the initial best-practice options for country coordination and multi-stakeholder engagement and the principle of free, prior informed consent in projects involving indigenous peoples. Special efforts may be required to support stakeholder engagement where groups or communities are particularly marginalized, excluded or isolated. Consultation in this context will be accountable in that comments and feedback received by GCF and AEs from stakeholders will be documented, taken into careful consideration, and applied to the project development, implementation and design as appropriate.¹

The GCF has its own overarching gender policy and action plan, which is translated in the GCF toolkit, Mainstreaming Gender in Green Climate Fund Projects. This GCF toolkit provides the critical steps that are required to be undertaken throughout the GCF project cycle. Gender mainstreaming is fundamental to any GCF project and the fund requires the completion of gender assessments and action plans to be submitted with any project Concept Note that reflect this. Utilizing this GCF guidance in conjunction with Papua New Guinea's own specific Gender Mainstreaming Guideline will ensure gender and social inclusion considerations are incorporated.

Gender analysis is a practical tool used to examine and address the different roles, rights, levels of power held in decision making, constraints and opportunities of men and women, boys and girls and the relationship between them in a given context. The objective of gender analysis in climate change interventions is to provide qualitative and quantitative evidence of gender roles and helps to identify entry points and effective strategies that will support gender equality and women's empowerment in climate change projects.

In practical terms in Papua New Guinea, the analysis is a "wok long painim aut" – the process in which we talk to people, observe behaviours and practices that are normal for that community, try to understand the reasons and drivers for that behaviour, understand how those practices cause harm or good in the community and explore the willingness for change that may exist.



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¹ GCF 2018. Gender Equality and Social Inclusion Policy and Action Plan 2018-2020. Available at: https://www.greenclimate.fund/sites/default/files/document/gcf-b19-25.pdf

BOX 2. Applying gender analysis in Papua New Guinea

A gender analysis is important for examining the differences between the roles that men and women play, the different levels of power they hold in areas such a decision making, their differing needs, constraints and opportunities, and the impacts of these differences on their lives. Gender analysis contributes to climate action and informs climate intervention including project design and seeks:

- To identify and address gender inequalities and understand their root causes
- To identify and eliminate barriers to women's access and participation in productive and public life, this includes decision making
- To identify potential gender impacts and prevent negative effects of interventions
- To provide a baseline as a measure toward gender equality and women's empowerment. To provides qualitative and quantitative evidence of gender for gender roles, activities, needs and opportunities for men and women, boys and girls and marginalized categories of persons such as the elderly and persons with disabilities.



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Gender and Social Inclusion Resources

Government of PNG 2020. Gender Mainstreaming Guideline. The Green Climate Fund in PNG. Gender Mainstreaming Guideline for Project Implementers.

GCF 2018. Gender Equality and Social Inclusion Policy and Action Plan 2018-2020. www.greenclimate.fund/sites/default/files/document/gcf-b19-25.pdf

GCF and UN Women 2017. Mainstreaming Gender in Green Climate Fund Projects. A practical manual to support the integration of gender equality in climate change interventions and climate finance. www.greenclimate.fund/sites/default/files/document/guidelines-gcf-toolkit-mainstreaming-gender_0.pdf

What is the Green Climate Fund?

The Green Climate Fund (GCF) is a global fund created to support the efforts of developing countries to respond to the challenge of climate change. GCF helps developing countries limit or reduce their greenhouse gas (GHG) emissions and adapt to climate change.

What is a National Designated Authority?

National Designated Authorities are government institutions that serve as the interface between each country and the GCF. They provide broad strategic oversight of GCF's activities in the country and communicate the country's priorities for financing low-emission and climate-resilient development. The Climate Change & Development Authority (CCDA) is recognized by the Green Climate Fund as the National Designated Authority for Papua New Guinea.

What is an Accredited Entity?

The Green Climate Fund works through a wide range of Accredited Entities to channel its resources to projects and programmes. Accredited Entities can be Private or public, non-governmental, sub-national, national, regional or international, as long as they meet the standards of the Fund. Accredited Entities carry out a range of activities that usually include the development of funding proposals and the management and monitoring of projects and programmes.

How can I access the Green Climate Fund?

The Green Climate Fund Provides Support under 3 Main Components:

- Project Preparation Facility supports Accredited Entities in Project/Programme Preparation, particularly to conduct pre-feasibility studies and environmental/social impact assessments.
- Readiness supports strengthening the capacity of National Designated Authorities (and Direct Access Entities) to coordinate action on Climate Change.
- Country Programming supports the development and implementation of projects under adaptation and mitigation.
- Private Sector Facility supports engagement of both the local and global private sector to support climate change mitigation and adaptation projects."

Initial Steps in developing a proposal:

Who Can Assist me to Develop my Concept Note/Proposal?

It is a requirement by the Green Climate Fund to be engaged with an Accredited Entity when submitting a Concept Note/ Proposal. One of the roles of an Accredited Entity is to support the development of a Concept Note/Full Proposal. It is advisable to engage with a potential Accredited Entity during the early phase of project idea development.

What is a Letter of No Objection?

A Letter of No Objection is provided by the National Designated Authority and is a mandatory requirement for Full Project Proposal Submissions to the Green Climate Fund. The Letter of No Objection provides the Green Climate Fund with assurance that the Project/Programme is consistent with national climate strategies and developed using country-driven approaches.

Where Can I Submit my Concept Note/Proposal?

The Concept Note/Proposal Document will need to be submitted to the National Designated Authority by the AE, where it will undergo a vigorous assessment (No Objection Procedure) that will support the final decision on whether a Letter of No Objection will be issued. Once all requirements are met, submissions can be made to the Green Climate Fund through the National Designated Authority, or directly through the Accredited Entity.

How Long is the Process to Access the Green Climate Fund?

The process from the project idea stage to the full proposal submission and approval, can take up to a year or longer. The actual duration will be largely subject to meeting all the Green Climate Fund requirements.



GLOSSARY

Accredited Entity: An entity that is accredited by the GCF Board in accordance with the Governing Instrument and relevant Board Decisions. An AE is accountable directly to the GCF's Board for the overall management of projects, as well as for the financial, monitoring and reporting aspects of project activities. The AE may be public or private. Funding proposals can only be presented to GCF by AEs. Once programmes or projects are approved, AEs and/or EEs implement the project. The role of the AEs is to oversee, supervise, manage and monitor their GCF-approved projects and programmes.

Adaptation: (Dealing with the effects of climate change) To increase resilience by adjusting our policies, practices, knowledge and infrastructure to manage the impacts of climate change and to reduce vulnerability to climate risks.

Concept note (CN): A document which provides essential information about an early-stage proposal to seek feedback on whether the project idea (or concept) is aligned with the objectives, policies and investment criteria of the GCF.

Climate change adaptation: (see 'adaptation').

Climate change mitigation: (see 'mitigation').

Direct Access Entities, which correspond to subnational, national or regional entities. They may include national ministries or government agencies, national development banks, national climate funds, commercial banks, other financial institutions, etc.

Executing Entity: 1. A project proponent that is not an AE can act as an Executing Entity (EE). While an AE acts as a country's fund programme managers, the EE is in charge of executing eligible activities supported by the GCF under the oversight of the AE. An AE can also execute projects itself. 2. An entity through which GCF proceeds are channeled for the purposes of a funded activity or part thereof; and/or any entity that executes, carries out or implements a funded activity, or any part thereof. An accredited entity may carry out the functions of an executing entity, though it is preferable if local and national actors execute projects/programmes.

Focal point: An individual or authority designated by a developing country party to the United Nations Framework Convention on Climate Change (UNFCCC) to fulfil all functions of a National Designated Authority (NDA) on a temporary basis, until it has designated an NDA.

Funding proposal (FP): A document that is submitted by entities who want to get access to GCF resources for climate change projects and programmes. Funding Proposals can be submitted to the GCF at any time or as a response to a Request for Proposals (RFP). FPs that are submitted to GCF are subject to a review process, culminating in a decision by the GCF Board as to whether to support the project.

Gender: refers to the roles, behaviors, activities, and attributes that a given society at a given time considers appropriate for men and women.

Gender assessment: includes but is not limited to an analytical tool based on sex-disaggregated data and gender information.

Gender equality: the equal rights, responsibilities and opportunities of women, men, girls and boys. Equality, therefore, does not mean that women and men will become the same but that women's and men's rights, responsibilities and opportunities will not depend on whether they are born male or female.

Gender equity: A process of according fair and positive treatment to women, men, girls and boys whilst taking into account their specific needs. It may involve equal treatment, or, treatment which may be considered discriminatory, but which results in equivalent rights, benefits and opportunities. Intermediary: administers grants and loans while blending funds with its own and others.

International Access Entities, which may be bilateral, multilateral or regional entities. They may include bilateral development agencies (e.g. Deutsche Gesellschaft für Internationale Zusammenarbeit, GIZ), multilateral development banks (e.g. World Bank), United Nations agencies (e.g. United Nations Development Programme), regional development banks (e.g. African Development Bank), intergovernmental organisations (e.g. World Wildlife Fund), etc.

Investment criteria: Six investment criteria adopted by the GCF Board, namely: impact potential; paradigm shift potential; sustainable development potential; needs of the recipient; country ownership; and efficiency and effectiveness. There are coverage areas, activity-specific sub-criteria, and indicative assessment factors that provide further elaboration.

Mitigation: (Dealing with the causes of climate change) To prevent climate change, by either reducing our emission of greenhouse gases into the atmosphere, or enhancing the ability of the earth including oceans and forests, to absorb carbon.

National Designated Authority: The Climate Change & Development Authority (CCDA) is the National Designated Authority (NDA) of Papua New Guinea to the Green Climate Fund (GCF), and the Managing Director (MD) is the Focal Point. The NDA is the main point of communication and contact between PNG and the GCF. The NDA seeks to ensure that activities supported by the GCF align with strategic national objectives and priorities of PNG, and help advance ambitious action on adaptation and mitigation in line with national needs. The NDA/Focal Point develops work programmes and oversees proposals of PNG that PNG would like to submit to the GCF. The list of NDAs and Focal Points of other countries is available at www.greenclimate.fund/ partners/countries/nda-directory.

Project Preparation Facility (PPF): A funding window within the GCF that supports AEs in project and programme preparation. It covers pre-feasibility and feasibility studies; project design; environmental, social and gender studies; risk assessments; and other project preparation activities, where necessary. The PPF is designed in particular to support Direct Access Entities for projects in the micro-tosmall size category.

Result areas (same as 'strategic impact areas'): GCF has eight result/impact areas which will deliver major mitigation and adaptation benefits in the developing world to promote a paradigm shift towards low-emission and climate-resilient development. Mitigation includes four result areas, namely low-emission energy access and power generation; low-emission transport; energy efficient building, cities and industries; and sustainable land use and forest management. Adaptation covers the other four, namely enhanced livelihoods of the most vulnerable people, communities and regions; increased health and well-being, and food and water security; resilient infrastructure and built environment to climate change threats; and resilient ecosystems. All proposals must reflect one or more of the result/impact areas.

Women's empowerment: The core of empowerment lies in the ability of a women to control their own destiny. This implies that to be empowered, women must not only have equal capabilities (e.g. such as education and health) and equal access to resources and opportunities (e.g. such as to land and employment) but they must also have the agency to use those rights, capabilities, resources and opportunities to make strategic choices and decisions, for example through leadership opportunities and participation in political institutions. To exercise agency, women must live without the fear of coercion and violence.

Bird of paradise. © Port Moresby Nature Park, 2020

Further information

For more information contact:

NDA

Climate Change and Development Authority P.O. Box 4017 Boroko, NCD Papua New Guinea www.ccda.gov.pg info@ccda.gov.pg

GCF

Green Climate Fund G-Tower, Songdo Business District 175 Art Center-Daero Yeonsu-gu, Icheon 22004 Republic of Korea www.greenclimate.fund info@gcfund.org

Accredited Entitites

For AE information, visit any of the sites listed here: www.greenclimate.fund/about/ partners/ae

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Climate Change and Development Authority

NATIONAL LIBRARY SERVICE OF PNG CATALOGUING-IN-PUBLICATION DATA

Papua New Guinea. Climate Change and Development Authority: Communication Strategy, 2019-2022. Port Moresby: Papua New Guinea. Climate Change and Development Authority, 20189

ISBN: 978-9980-909-67-1

- 1. Papua New Guinea. Climate Change and Development Authority.
- 2. Business planning Papua New Guinea.
- 3. Strategic planning Papua New Guinea.
- I. Papua New Guinea. Climate Change and Development Authority.

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